

## 證券服務收費表（美股）

### 美國上市證券交易收費

交易佣金：電子交易 人手下單	每股 0.0099 美元 (每單最低 1.99 美元) 以較高者計算 每股 0.0099 美元 (每單最低 40 美元) 以較高者計算
SEC 美國證監會交易徵費 (只適用於沽出)	0.00278% × 成交金額 (每單最低收費 0.01 美元)
FINRA 美國金管局交易活動費 (只適用於沽出)	0.000166 美元 × 賣出數量 (每單最低收費 0.01 美元 最高 8.30 美元)

### 存管服務

股票轉出 (經美國存管信託公司)	每隻股份收取 100 美元
股票轉入 (經美國存管信託公司) (經代理機構)	免費 每隻股份收 50 美元
美國預託證券 ADR 存管費	每股 0.01~0.05 美元 (於截止過戶日收取)

### 利率收費 (美元)

保證金 (俗稱“孖展”) 帳戶借貸收費	基準年利率 H (參照香港銀行公會 1 星期利息結算利率) + 3.9% (美元)
現金帳戶過期利息	基準年利率 (參照創興銀行美元最優惠年利率) + 7%

### 代理人服務及企業行動

IRS 美國稅務局代收股息稅	獲分派股息的 30%
代處理合股及拆股	每隻股份收 3 美元
紅股/代收股息	股息 0.2%，最低 \$0.01 美元
自願性公司行動	免費

### 即時美股報價資訊服務

美股點擊報價	免費
美股串流 LVL1 報價	每個自然月 1 美元

### 【重要】備註：

- 美國上市證券(下簡稱“美股”)每宗成交或部份成交單，將以每宗獨立計算佣金，不設合單服務；有特別優惠或約定之客戶其交易佣金收費標準可另議；
- 美股轉入或轉出只限無紙化存取，不提供實物股票存取；
- 預託證券代理 (Depository Receipts Agent) 可向持有美國預託證券之客戶定期 (例如每年) 收取美國預託證券費用 (ADR Fee)，而每年登記日期及費用視乎 DTC 信託公司決定，每隻股票不一樣，上述費用僅供參考，將自動從戶口扣除；
- 買入以預託證券形式於美國上市的意大利/法國公司須徵收金融交易稅。意大利金融交易稅為 0.12%，法國金融交易稅為 0.3%，計算方式：當日淨買入股數 × 當日加權平均買入價 × 稅率；
- 美股獲派息時要支付美國國家稅務局(IRS) 股息稅，現金股息都會被劃一預扣 30%作為稅款，於派息時自動扣取。稅務身分歸屬於司法地區與美國有稅務協議或享有退稅優惠資格的投資者，立橋國際證券有限公司(「本公司」)均一律不協助辦理有關退稅手續或提供任何相關申請的資料或意見。
- 關於保證金 (俗稱“孖展”) 及現金帳戶過期利息之收費由本公司自行厘定，而有特別優惠或約定之客戶其收費標準可另議。利息計算所基於的收費標準可能會在無事先通知客戶的情況下更改，旨在應對貨幣匯率的變化。
- 客戶需負擔由相關服務供應商或執行代理商、政府或監管機構就本行提供的指定證券或證券買賣服務收取/衍生的有關收費、徵費、稅項及利息，詳情請向本行查詢。
- 非港幣結算產品的交易佣金、徵費及服務收費將以相關結算貨幣計算及支付，客戶需確保其證券賬戶備存有足夠對應的貨幣以供扣除作支付。否則，本公司有權在不通知客戶情況下，強制兌換其賬戶內任何幣種結餘或負結餘作交收用途而匯率將以本公司不時釐定之匯率為準，或收取相關貨幣結欠的利息，而客戶須承擔任何因而招致的所有成本及費用包括利息開支。
- 本公司有權更改以上利率及收費而毋須另行通知，並保留最終決定權。以上收費未能盡錄。如有疑問，請致電本公司客戶服務熱線或向您的客戶經理查詢。

二零二四年五月

## SECURITIES SERVICE CHARGES TABLE ( US STOCK )

### US LISTED SECURITIES TRADING SERVICES

Brokerage Commission: Online Trading Telephone Dial-in Trading	US\$0.0099 per Share (Minimum US\$1.99 per order) whichever is higher US\$0.0099 per Share (Minimum US\$40 per order) whichever is higher
SEC Levy (Sell order only)	0.00278% × Value of Aggregate Sales (Minimum US\$0.01 per order)
FINRA Trading Activity Fee (Sell order only)	US\$0.000166 × Sold Quantity (Minimum US\$0.01 Maximum US\$8.30 per order)

### SCRIP HANDLING AND SETTLEMENT-RELATED SERVICES

Stock Withdrawal (via DTC only)	US\$100 per stock
Stock Deposit (via DTC only) (via Transfer Agency)	Free US\$50 per stock
ADR Depository Fee	US\$0.01-0.05 (Per share per book close. The date of registration depends on the Depository Trust Company (DTC) and varies from stock to stock.)

### FINANCING RATES/ INTEREST CHARGE (USD)

Margin account financing charge	Base Rate H (Interest Settlement Rates 1 week maturity quoted by The Hong Kong Association of Banks) plus 3.9% (
Cash account overdue interest	Base Rate (USD Prime Rate p.a. quoted by Chong Hing Bank) plus 7%

### NOMINEE SERVICES AND CORPORATE ACTIONS

US IRS Dividend Tax	30% of dividends paid
Share Consolidation / Split Handling Charges	US\$3 per stock
Bonus/Cash/Script Dividend Collection	0.2% of dividends paid (Minimum US\$0.01)
Voluntary Corporation Action Fee	Free

### REAL TIME US STOCK PRICE QUOTATION SERVICES

Snapshot Stock Price Quote	Nil
LVL1 Streaming Stock Price Quote	US\$1 per calendar month

#### IMPORTANT NOTE:

- For each transaction or partial transaction order of U.S. listed securities (hereinafter referred to as "US stocks"), the commission will be calculated independently for each transaction, not on accumulative basis; For clients on special arrangement, their Brokerage/Trade Commission are subjected to special deals or agreements.
- U.S. stock deposits or withdrawals are limited to paperless transferal only; physical stock deposits and withdrawals are not provided;
- Depository Receipts Agent collects ADR Fees from clients holding American Depository Receipts on a regular basis (for example, annually), the associated annual registration date and fee are subject to the final confirmation of and at the full discretion of the DTC Trust Company and different from stock to stock. The captioned price range is for reference only and will be automatically deducted from your account(s);
- Buy order on Italian/French companies listed in the U.S. in the form of American Depository Receipts is subject to financial transaction tax. The financial transaction tax for Italian companies is 0.12%, and for French companies is 0.3%, such tax is calculated by: (The net shares bought on the day in volume) x (The weighted average of buying price) x (Tax rate);
- U.S. stocks are subjected to a 30% dividend tax when cash dividends are paid by the US Internal Revenue Service (IRS) and the dividend tax is automatically withheld when dividends are paid. For investor(s) whose tax status is attributable to jurisdiction(s) under the provisions of an applicable tax treaty with the United States, may be eligible for tax rebates but Well Link International Securities Limited ("WLISL") does not provide any forms of assistance in the handling of relevant tax rebate or tax return or any tax benefits claims nor any associated information or advice for such applications.
- The Margin account financing charge and/or Cash account overdue interest is/are determined by WLISL. For clients on special arrangement, their applicable rates and charges are subjected to special deals and agreements. The applicable rates and charge standards on which interest is based may change from time to time without prior notification to clients. Such adjustments are done periodically to adjust for changes in currency rates.
- There may be other fees, charges, levies, tax and interest that apply to certain securities or particular security trading services provided by WLISL that are introduced and/or charged by the relevant service providers or broker agents, government or regulatory bodies. WLISL is fully liable for such fees, charges, levies, tax and interest. Please make enquiry with WLISL for details.
- For Non-HKD denominated products, trading commission, all levies and services charges payable will be calculated and paid based on the settlement currency. client prior to engage in trading such securities shall ensure their securities accounts maintain sufficient amount of trading currency for the purpose of settlement of trade, as well as its relevant taxes and/or stamp duty, levies, commission payable and other transaction related expenses. Otherwise, WLISL shall be entitled to charge interests on negative currency balance or to convert any amount of any currencies into any other currencies for settlement or partial settlement of orders for a transaction, at an exchange rate determined by WLISL from time to time, prior to settlement as WLISL may from time to time in its absolute discretion deem appropriate. WLISL shall be entitled to charge and deduct from the client's securities account all costs and expenses including interest charges incurred by it in effecting such conversion, in which the client shall bear in full.
- All of the above rates and charges are subjected to change and at WLISL's sole discretion without prior notice. The above list is not exhaustive, for further inquiries, please contact our customer service hotline or your account executive.

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